



NASA OFFICE OF INSPECTOR GENERAL

OFFICE OF INVESTIGATIONS

SUITE 8U71, 300 E ST SW
WASHINGTON, D.C. 20546-0001

NOV 08 2019

Emma Best
c/o MuckRock News
Dept MR 74288
411A Highland Ave
Somerville, MA 02144-2516
Via Email: 79432-00195920@requests.muckrock.com

Re: Freedom of Information Act (FOIA) Request #19-OIG-F-00813/2019-51

Dear Ms. Best:

This letter concerns your August 26, 2019, Freedom of Information Act (FOIA) request to the National Aeronautics and Space Administration (NASA) Office of Inspector General (OIG). Your FOIA request was assigned tracking #19-OIG-F-00813/2019-51. Pursuant to the FOIA (5 U.S.C. § 552), you requested the following information:

“a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2015. If the list is organized by FY rather than calendar year, the FY 2015 list is sufficient for this request. If no central list or database exists, then I request copies of the cover sheet or first page of each IG report completed during calendar year 2015.”

Some portions of the enclosed responsive document are non-releasable based upon FOIA exemptions 5 U.S.C. § 552(b)(6), 5 U.S.C § 552(b)(7)(C), and 5 U.S.C § 552(b)(7)(E). This exempt information has been redacted. Exemption (b)(6) of the FOIA exempts from disclosure personnel and similar files, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. Exemption (b)(7)(C) provides protection for law enforcement information, the disclosure of which “could reasonably be expected to constitute an unwarranted invasion of personal privacy”. Exemption (b)(7)(E) affords protection to all law enforcement information that “would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law.”

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 &

Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should be taken as an indication that excluded records do, or do not, exist.

You may contact our FOIA Public Liaison, Francis P. LaRocca at 202-358-2575 for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

You also have the right to appeal this initial determination to the Deputy Inspector General. Pursuant to 14 CFR §1206.700(b), the appeal must (1) be in writing; (2) be addressed to the following:

NASA, Office of Inspector General
Headquarters
300 E Street, SW, Suite 8V39
Washington, D.C. 20546-0001
Attn: George A. Scott, Deputy Inspector General;

(3) be identified clearly on the envelope and in the letter as "Freedom of Information Act Appeal"; (4) include a copy of the request for the Agency record and a copy of the adverse initial determination; (5) to the extent possible, state the reasons why the requester believes the adverse initial determination should be reversed; and (6) must be postmarked and sent to the Deputy Inspector General within 90 calendar days of the date of receipt of the initial determination.

Sincerely,

A handwritten signature in blue ink, appearing to read "James R. Ives", is written over a printed name and title.

James R. Ives
Assistant Inspector General for Investigations
OIG FOIA Officer – Investigations

Enclosure

Cases Closed - CY2015 (MuckRuck FOIA)

Case Number	Case Title	Closing Date	Allegations
O-LA-07-0413-HL-O	(b)(6), (b)(7)(C)	10/21/2015	Conflict of Interest
	Civil Results:		
	Civil Settlement 10/10/2012 (b)(6), (b)(7)(C)		
	Civil Settlement Amt \$15,000.00 (b)(6), (b)(7)(C)		
C-GO-09-0220-O	(b)(6), (b)(7)(C)	7/28/2015	Computer Crime
	Criminal Results:		
	Criminal Indictment 11/23/2009 (b)(6), (b)(7)(C)		
O-AR-09-0252-S	(b)(6), (b)(7)(C)	10/26/2015	Export Control Violations; Violation of NASA Regulations
O-LB-10-0105-O	AEROFLEX INCORPORATED	9/25/2015	Cost Mischarging/Defective Pricing/False Claims
O-NJ-10-0136-HL-O	COMBUSTION PROPULSION AND BALLISTIC TECHNOLOGY CORPORATION, et al.	12/14/2015	Procurement Irregularities; SBIR/STTR Fraud
	Admin Actions:		
	Action Taken 8/27/2014 Pennsylvania State University		
O-GO-10-0181-S	SCIENCE SYSTEMS AND APPLICATIONS, INC., ET AL.	1/7/2015	Conflict of Interest; Grant Fraud; Non-Criminal Cases
O-JP-10-0323-O	URGILES, EDUARDO R.	3/6/2015	Other Federal Crimes on NASA Facilities; Theft/Conversion of Govn't Property or Funds
	Criminal Results:		
	Criminal Indictment 8/22/2014 Urgiles, Eduardo Rafael		
	Criminal Conviction 12/17/2014 Urgiles, Eduardo Rafael		
	Criminal Sentencing 2/26/2015 Urgiles, Eduardo Rafael		
O-GO-10-0415-O	BELTSVILLE INDUSTRIES GROUP INC., ET AL.	7/14/2015	Antitrust Violations
C-GO-11-0063-S	Proactive Project - Review of Banking Records	4/6/2015	Proactive/Project Cases

O-ST-11-0169-O	DESERT BEAM TECHNOLOGIES LLC, ET AL.	5/12/2015	False Statements; SBIR/STTR Fraud; Theft/Conversion of Govn't Property or Funds
O-NJ-11-0207-O	THERMACORE INCORPORATED ET AL	10/19/2015	False Claim; SBIR/STTR Fraud
	Civil Results: Civil Settlement 10/20/2014 Thermacore Incorporated Civil Settlement Amt \$965,000.00 Thermacore Incorporated		
C-JP-11-0404-O	(b)(6), (b)(7)(C)	9/9/2015	Computer Crime
	Criminal Results: Criminal Indictment 12/16/2013 (b)(6), (b)(7)(C) Criminal Sentencing 12/22/2014 (b)(6), (b)(7)(C)		
C-GO-11-0471-S	(b)(6), (b)(7)(C)	3/11/2015	Computer Crime; Computer Crime - Child Pornography
O-AR-12-0001-S	PROACTIVE PROJECT: SCREENING OF NAIS ALERT TRAFFIC FOR FRAUD INDICATORS	12/21/2015	Proactive/Project Cases
O-AR-12-0188-O	IRON MOUNTAIN (QUI TAM)	1/16/2015	False Claim
	Civil Results: Civil Settlement 12/17/2014 Iron Mountain Civil Settlement Amt \$44,500,000.00 Iron Mountain		
O-NJ-12-0191-O	(b)(6), (b)(7)(C)	9/18/2015	False Claim; Other Federal Crimes
	Admin Actions: Action Taken 8/21/2015 (b)(6), (b)(7)(C)		
O-MA-12-0258-O	(b)(6), (b)(7)(C)	5/20/2015	Theft/Conversion of Govn't Property or Funds
	Admin Actions: Action Taken 5/12/2015 (b)(6), (b)(7)(C)		
	Criminal Results: Criminal Indictment 2/7/2014 (b)(6), (b)(7)(C)		
C-GO-12-0297-O	(b)(6), (b)(7)(C) (aka: Zyklon B)	7/7/2015	Computer Crime
	Criminal Results: Criminal Sentencing 4/10/2015 (b)(6), (b)(7)(C) Criminal Conviction 4/10/2015 (b)(6), (b)(7)(C) Criminal Restitution Amt \$6,693.00 (b)(6), (b)(7)(C)		

C-AR-12-0305-S	TOR Project - Project	1/5/2015	Computer Crime
O-KE-12-0428-O	ANTIGUA, Roy M.	3/31/2015	Other Federal Crimes
	Criminal Results: Criminal Indictment 10/8/2014 Antigua, Roy M Criminal Sentencing 3/23/2015 Antigua, Roy M Criminal Conviction 1/6/2015 Antigua, Roy M Criminal Restitution Amt \$114,000.00 Antigua, Roy M Criminal Indictment 5/9/2014 Antigua, Roy M		
O-NJ-12-0429-O	ASSURANCE TECHNOLOGY CORPORATION, ET AL.	2/24/2015	False Claim; Safety Issues
	Admin Actions: Action Taken 8/27/2014 Assurance Technology Corporation		
O-MA-12-0440-O	JONES, Douglas Clement	3/23/2015	Other Federal Crimes
	Admin Actions: Action Taken 2/27/2015 Jones, Douglas Clement		
	Criminal Results: Criminal Indictment 6/30/2014 Jones, Douglas Clement Criminal Sentencing 12/9/2014 Jones, Douglas Clement Criminal Conviction 8/20/2014 Jones, Douglas Clement		
O-LA-13-0058-O	SIMCO ELECTRONICS, ET, AL.	10/21/2015	False Statements
	Admin Actions: Action Taken 7/8/2015 SIMCO Electronics		
O-DR-13-0067-S	ALLEGATION OF GRANT FRAUD - UNIVERSITY RESEARCH CENTERS PROJECT	6/17/2015	Grant Fraud; Violation of NASA Regulations
O-GO-13-0123-O	THE AEROSPACE CORPORATION	3/12/2015	False Claim
O-LB-13-0127-O	ANDERSON -- BOEING / PROCUREMENT OFFICIAL	2/3/2015	Kickbacks; Other Federal Crimes
	Admin Actions: Debarment 1/22/2014 GLOBE DYNAMICS INTERNATIONAL, INC. Debarment 12/22/2014 Inland Empire Debarment 10/10/2013 LAVELLE, JEFFREY Debarment 1/9/2015 LAVELLE, JEFFREY Debarment 12/22/2014 BOOZER, WILLIAM PATRICK Debarment 12/22/2014 DIAZ, ROBERT		

	<p>Debarment 12/22/2014 ANDERSON, DEON ELI</p> <p>Suspension 10/10/2013 BOOZER, WILLIAM PATRICK</p> <p>Suspension 10/10/2013 ANDERSON, DEON ELI</p> <p>Suspension 10/10/2013 DIAZ, ROBERT</p>
	<p>Criminal Results:</p> <p>Criminal Indictment 10/2/2013 ANDERSON, DEON ELI</p> <p>Criminal Sentencing 10/27/2014 ANDERSON, DEON ELI</p> <p>Criminal Conviction 7/18/2014 ANDERSON, DEON ELI</p> <p>Criminal Indictment 10/2/2013 BOOZER, WILLIAM PATRICK</p> <p>Criminal Sentencing 10/27/2014 BOOZER, WILLIAM PATRICK</p> <p>Criminal Conviction 5/9/2014 BOOZER, WILLIAM PATRICK</p> <p>Criminal Indictment 10/2/2013 DIAZ, ROBERT</p> <p>Criminal Sentencing 10/27/2014 DIAZ, ROBERT</p> <p>Criminal Conviction 6/4/2014 DIAZ, ROBERT</p> <p>Criminal Indictment 10/2/2013 LAVELLE, JEFFREY</p> <p>Criminal Sentencing 11/21/2014 LAVELLE, JEFFREY</p> <p>Criminal Conviction 7/28/2014 LAVELLE, JEFFREY</p>
O-ST-13-0134-O	<p>(b)(6), (b)(7)(C)</p> <p>Admin Actions:</p> <p>Action Taken 1/26/2015 (b)(6), (b)(7)(C)</p> <p>Criminal Results:</p> <p>Criminal Sentencing 11/21/2014 (b)(6), (b)(7)(C)</p>
O-GO-13-0171-O	<p>PROCUREMENT IRREGULARITIES - CSSC</p> <p>CONTRACT</p>
O-DR-13-0175-O	<p>(b)(6), (b)(7)(C)</p> <p>FOREIGN VISITOR ACCESS</p> <p>CONTROL/SPONSORSHIP CONCERNS</p>
O-IA-13-0181-S	<p>Admin Actions:</p> <p>Debarment 10/3/2016 Jobson, Daniel J</p> <p>Debarment 10/3/2016 Woodell, Glenn A</p>
	<p>False Claim; False Statements; Timecard</p> <p>Fraud</p> <p>1/27/2015</p> <p>4/3/2015</p> <p>9/4/2015</p> <p>12/15/2015</p> <p>Procurement Irregularities</p> <p>Procurement Irregularities; SBIR/STTR</p> <p>Fraud; Standards of Conduct Violations</p> <p>Counterintelligence; Export Control</p> <p>Violations; Violation of NASA Regulations</p>

Action Taken 9/20/2013 Export Control & Interagency Liaison Division				
Criminal Results:				
	Criminal Indictment 10/20/2015 Jobson, Daniel J			
	Criminal Sentencing 11/30/2015 Jobson, Daniel J			
	Criminal Conviction 11/30/2015 Jobson, Daniel J			
	Criminal Indictment 10/20/2015 Woodell, Glenn A			
	Criminal Sentencing 10/26/2015 Woodell, Glenn A			
	Criminal Conviction 10/26/2015 Woodell, Glenn A			
O-GL-13-0248-S	PROACTIVE PROJECT - TARGET ANALYSIS: PROCUREMENT DIVISION, INSTITUTIONAL SERVICES BRANCH	9/24/2015		Proactive/Project Cases
O-GL-13-0249-S	PROACTIVE PROJECT - TARGET ANALYSIS: PROCUREMENT DIVISION, RESEARCH AND SPACE OPERATIONS BRANCH	9/25/2015		Proactive/Project Cases
O-GL-13-0256-S	PROACTIVE PROJECT - TARGET ANALYSIS: PROCUREMENT DIVISION, EXPLORATION SYSTEMS BRANCH	9/28/2015		Proactive/Project Cases
O-JS-13-0282-O	(b)(6), (b)(7)(C)	2/13/2015		Other Federal Crimes
O-LB-13-0344-S	PROACTIVE - IPR & CPI EFFORTS			Export Control Violations; Other Federal Crimes; Proactive/Project Cases; Product Substitution; Safety Issues
O-WA-13-0345-HL-S	ALLEGED NEGLIGENCE IN PRESERVATION OF A NASA AIRCRAFT	7/21/2015		Non-Criminal Cases
	Admin Actions:			
	Action Taken 2/24/2015 NASA Office of Strategic Infrastructure			
C-DR-13-0365-S	SUBJECT UNKNOWN â€œ POSSIBLE VIEWING OF CHILD PORNOGRAPHY	9/9/2015		Computer Crime - Child Pornography
O-KE-13-0366-S	PROCUREMENT IRREGULARITIES â€œ KSC GROUND PROCESSING DIRECTORATE	4/9/2015		Procurement Irregularities
	Admin Actions:			
O-GO-13-0370-O	Action Taken 4/9/2015 (b)(6), (b)(7)(C) COOLCAD ELECTRONICS, LLC	5/7/2015		SBIR/STTR Fraud

O-GL-13-0371-O	GENERAL NANO, LLC, ET AL.	2/20/2015	False Claim; False Statements; Other Federal Crimes; SBIR/STTR Fraud
O-GO-13-0378-O	(b)(6), (b)(7)(C)	12/22/2015	Theft/Conversion of Govn't Property or Funds
C-MA-13-0379-O	(b)(6), (b)(7)(C)	4/6/2015	Computer Crime; Computer Crime - Child Pornography; Other Federal Crimes; Other States or Local Crimes; Violation of NASA Regulations
C-DR-13-0416-S	(b)(6), (b)(7)(C)	4/21/2015	Computer Crime - Child Pornography
C-AR-13-0423-S	PROACTIVE PROJECT - Review of ARC P-Card IT Purchases	3/17/2015	Non-Criminal Cases; Proactive/Project Cases; Procurement Irregularities
O-JS-13-0429-S	PROACTIVE PROJECT: REVIEW OF THE BOEING COMPANY BUYERS FOR POTENTIAL KICKBACKS	1/8/2015	Kickbacks
C-GO-13-0439-O	(b)(6), (b)(7)(C)	4/22/2015	Computer Crime; Computer Crime - Data Theft; Computer Crime - Intrusion; Computer Fraud
Criminal Results:			
Criminal Indictment 5/1/2014 (b)(6), (b)(7)(C)			
Criminal Sentencing 12/22/2014 (b)(6), (b)(7)(C)			
O-DR-13-0444-P	Zona Technology, Inc.	3/6/2015	Counterintelligence; SBIR/STTR Fraud
O-WA-14-0022-HL-O	THE HAMMERS COMPANY	3/5/2015	False Claim; False Statements; Other States or Local Crimes; Violation of NASA Regulations
O-JP-14-0028-S	Allegation of Travel Fraud-NASA Juno Project	5/8/2015	Travel Fraud
O-LB-14-0031-O	TRANSITION45 TECHNOLOGIES, INC.	7/6/2015	False Claim; False Statements; SBIR/STTR Fraud
C-JP-14-0078-S	JPL Proactive Investigation	7/28/2015	Computer Crime; Computer Crime - Child Pornography; Computer Crime - Data Theft; Computer Crime - Intrusion; Computer Fraud

O-LA-14-0079-S	PROACTIVE PROJECT: ANALYSIS OF SMALL BUSINESS PREFERENTIAL CONTRACTING ACTIVITIES IMPACTING LARC	1/22/2015	Proactive/Project Cases
O-LA-14-0088-HL-S	WASTE OF GOVERNMENT RESOURCES - Office of Human Capital Management	3/3/2015	Employee Misconduct; Standards of Conduct Violations; Violation of NASA Regulations
O-GO-14-0107-S	(b)(6), (b)(7)(C) Admin Actions: Action Taken 4/9/2015 (b)(6), (b)(7)(C)	5/1/2015	Procurement Irregularities; Travel Card Fraud; Travel Fraud; Violation of NASA Regulations
O-JS-14-0115-O	(b)(6), (b)(7)(C)	7/21/2015	Cost Mischarging/Defective Pricing/False Claims
O-GO-14-0121-O	DUPLICATE PROPOSALS - SEWP V Admin Actions: Action Taken 10/14/2015 NASA SEWP	10/15/2015	False Claim; Procurement Irregularities
O-JS-14-0139-S	(b)(6), (b)(7)(C)	9/17/2015	Conflict of Interest
O-GO-14-0140-S	THE BOEING COMPANY	11/18/2015	False Claim; Procurement Irregularities
C-MA-14-0147-Q	(b)(6), (b)(7)(C) Criminal Results: Criminal Indictment 8/1/2014 (b)(6), (b)(7)(C) Criminal Sentencing 7/29/2015 (b)(6), (b)(7)(C)	10/21/2015	Computer Crime - Child Pornography
O-ST-14-0151-S	PROACTIVE CASE: IDENTIFY AND REVIEW TOP GRANTS AWARDED BY NSSC IN LOUISIANA AND MISSISSIPPI	1/22/2015	Proactive/Project Cases
O-GO-14-0153-S	PROACTIVE PROJECT - ECONOMIC CRIME THREAT ASSESSMENT: GODDARD	11/4/2015	Non-Criminal Cases
O-LA-14-0154-S	PROACTIVE PROJECT - TARGET ANALYSIS: PURCHASE CARD PROGRAM	10/29/2015	Proactive/Project Cases

O-ST-14-0161-HL-P	IMPROPER INSPECTIONS AND CERTIFICATIONS	2/24/2015	False Statements; Safety Issues; Violation of NASA Regulations
O-MA-14-0188-P	FRAUDULENT ACTIVITY BY NASA CONTRACTOR EMPLOYEE	6/26/2015	Other Federal Crimes
C-AF-14-0195-P	(b)(6), (b)(7)(C)	2/24/2015	Computer Crime - Data Theft; Counterintelligence; Other Federal Crimes
O-AR-14-0201-HL-S	UNETHICAL ACTIVITY BY NASA EMPLOYEE	10/1/2015	Conflict of Interest; Employee Misconduct; Non-Criminal Cases; Standards of Conduct Violations; Violation of NASA Regulations
O-WA-14-0209-S	PROACTIVE PROJECT - TARGET ANALYSIS: CONSTRUCTION SERVICES TASK ORDERS	5/5/2015	Cost Mischarging/Defective Pricing/False Claims
O-LB-14-0218-S	SUSPECTED MISAPPROPRIATION OF GOVERNMENT PROPERTY	1/30/2015	Theft/Conversion of Gov'n't Property or Funds
O-GO-14-0224-HL-P	PROCUREMENT IRREGULARITIES - IV&V TESS CONTRACT	1/14/2015	Procurement Irregularities
O-MA-14-0225-P	QUI TAM - SENTIENT CORP, WARD THOMAS	4/28/2015	False Claim; SBIR/STTR Fraud
O-GO-14-0239-HL-S	PROCUREMENT IRREGULARITIES - VALADOR, INC.	3/16/2015	Procurement Irregularities
C-AF-14-0242-P	Possible Disclosure of Sensitive NASA IT Contract Information	3/17/2015	Violation of NASA Regulations
O-LA-14-0245-S	NATIONAL INSTITUTE OF AEROSPACE	2/12/2015	Cost Mischarging/Defective Pricing/False Claims
O-KE-14-0248-HL-S	SBIR FRAUD/CONFLICT OF INTEREST	8/25/2015	False Statements; SBIR/STTR Fraud
O-KE-14-0250-HL-P	EDEN CRYOGENICS LLC	1/27/2015	False Statements; Grant Fraud; Procurement Irregularities
O-JS-14-0252-P	ALLEGED MISCHARGING BY JSC CONTRACTOR	3/6/2015	Cost Mischarging/Defective Pricing/False Claims
O-LB-14-0253-S	SUBJECT UNKNOWN: SUSPECTED ITAR VIOLATIONS	2/23/2015	Export Control Violations

<u>O-JP-14-0264-S</u>	Alleged Sexual Misconduct by JPL Employee	2/27/2015	Employee Misconduct; Other States or Local Crimes
<u>C-GO-14-0269-P</u>	SUBJECT Unknown: (b)(7)(E).gsfc.nasa.gov Intrusion	4/15/2015	Computer Crime - Intrusion
<u>C-MF-14-0274-S</u>	Allegations of Child Sexual Exploitation	2/9/2015	Computer Crime - Child Pornography; Violation of NASA Regulations
<u>O-MA-14-0282-S</u>	(b)(6), (b)(7)(C) PROCUREMENT IRREGULARITIES: Intuitive Machines	11/24/2015	Export Control Violations; False Statements
<u>O-JS-14-0283-HL-P</u>		3/10/2015	Conflict of Interest
<u>O-AR-14-0284-HL-S</u>	UNIVERSITY OF CENTRAL FLORIDA IPA	11/20/2015	Employee Misconduct; Standards of Conduct Violations; Violation of NASA Regulations
<u>O-JS-14-0293-Q</u>	(b)(6), (b)(7)(C) Criminal Results: Criminal Indictment 11/10/2014 (b)(6), (b)(7)(C) Criminal Sentencing 7/7/2015 (b)(6), (b)(7)(C)	7/21/2015	Theft/Conversion of Govn't Property or Funds
<u>O-LA-14-0296-P</u>	T&M ENGINEERING, ET AL.	3/18/2015	False Claim; Kickbacks
<u>O-GO-14-0299-S</u>	REQUEST FOR ASSISTANCE - AIP	5/1/2015	Other Federal Crimes
<u>O-MA-14-0303-Q</u>	Admin Actions: Debarment 7/30/2014 Allray Inc Debarment 7/30/2014 Jiangsu Allray Inc Debarment 4/27/2015 Deep Blue LLC Debarment 7/30/2014 Huang, Zhenchun Debarment 4/27/2015 Yan, Feng		
	GRIFFIN, Dennis Earle	8/4/2015	Travel Fraud
	Admin Actions: Action Taken 12/3/2014 Griffin, Dennis		
	Criminal Results: Criminal Indictment 11/24/2014 Griffin, Dennis Criminal Sentencing 7/7/2015 Griffin, Dennis Criminal Conviction 2/23/2015 Griffin, Dennis Criminal Restitution Amt \$15,088.16 Griffin, Dennis		

<u>C-GO-14-0304-S</u>	(b)(6), (b)(7)(C)		7/8/2015	Computer Crime - Child Pornography
<u>C-MA-14-0307-P</u>	Unauthorized Access by Social Engineering		2/18/2015	Computer Crime - Intrusion
<u>C-JS-14-0309-S</u>	(b)(6), (b)(7)(C)		11/9/2015	Computer Crime - Child Pornography; Employee Misconduct
<u>O-AR-14-0312-S</u>	ALLEGED FAVORITISM AND CONFLICT OF INTEREST		3/5/2015	Conflict of Interest
<u>O-GO-14-0320-HL-S</u>	ABUSE OF GOVERNMENT FUNDS/MISCONDUCT		3/6/2015	Employee Misconduct; Standards of Conduct Violations; Theft/Conversion of Govn't Property or Funds; Travel Fraud
<u>O-HS-14-0323-S</u>	INTERNAL - CCD		2/26/2015	Employee Misconduct
<u>O-MA-14-0327-HL-P</u>	CONFLICT OF INTEREST		11/16/2015	Conflict of Interest; Standards of Conduct Violations
Admin Actions:				
Action Taken 11/6/2015 (b)(6), (b)(7)(C)				
<u>O-KE-14-0331-P</u>	ALLEGED ILLEGAL RECORDING		2/12/2015	Other Federal Crimes
<u>C-MA-14-0340-P</u>	Alleged Timecard Fraud by a NASA IT Contractor â€œ The Boeing Company		4/6/2015	False Statements; Timecard Fraud
<u>O-LB-14-0341-S</u>	SUSPECTED COST MISCHARGING		4/3/2015	Cost Mischarging/Defective Pricing/False Claims
<u>O-JS-14-0342-P</u>	UNIVERSITY OF HOUSTON SYSTEM (et al)		7/21/2015	False Claim
<u>O-LA-14-0347-HL-S</u>	VIOLATION OF NASA REGULATIONS - Taksha University		4/15/2015	Violation of NASA Regulations
<u>C-JP-14-0348-S</u>	Possible Disclosure of Sensitive JPL/NASA Data		9/2/2015	Computer Crime; Computer Crime - Data Theft
<u>O-GO-14-0349-HL-S</u>	STANDARDS OF CONDUCT/ETHICS VIOLATIONS		4/17/2015	Employee Misconduct; Standards of Conduct Violations; Travel Fraud; Violation of NASA Regulations
Admin Actions:				
Action Taken 4/16/2015 (b)(6), (b)(7)(C)				
<u>O-AR-14-0366-HL-S</u>	MISMANAGEMENT AND ABUSE		4/27/2015	Conflict of Interest; Employee Misconduct

O-LB-14-0369-HL-P	CONTRACT FRAUD			False Claim; False Statements; Other Federal Crimes; Procurement Irregularities; Theft/Conversion of Govn't Property or Funds
C-AR-14-0372-P	UNKNOWN SUBJECT: Botnet Activity		3/12/2015	Computer Crime - Intrusion
O-LA-14-0373-S	ALLEGED PROHIBITED PERSONNEL PRACTICE		1/28/2015	Non-Criminal Cases
	Admin Actions:			
	Action Taken 12/18/2014 Langley Office of Human Capital Mgmt			
O-JS-15-0013-S	(b)(6), (b)(7)(C)		9/11/2015	Conflict of Interest
	Admin Actions:			
	Action Taken 9/9/2015 (b)(6), (b)(7)(C)			
	Action Taken 9/9/2015 NASA Johnson Space Center			
C-KE-15-0019-P	Advanced HPC		2/18/2015	Computer Crime
C-GO-15-0022-O	(b)(6), (b)(7)(C)		9/2/2015	Computer Crime - Child Pornography
O-MA-15-0027-HL-P	ALLEGED FRAUD BY NASA CONTRACTOR EMPLOYEE		4/21/2015	Cost Mischarging/Defective Pricing/False Claims; Kickbacks; Other Federal Crimes
C-MA-15-0030-P	Possible Sexual Abuse of a Minor		5/12/2015	Computer Crime - Child Pornography; Other Federal Crimes on NASA Facilities; State or Local Crimes on NASA Facilities; Violation of NASA Regulations
O-GO-15-0033-HL-S	MISMANAGEMENT - OFFICE OF CHIEF VETERINARIAN		1/12/2015	Employee Misconduct; False Claim
O-GL-15-0035-HL-P	ALLEGED FALSE STATEMENT - WOLF CREEK FEDERAL SERVICES		1/21/2015	False Statements
O-GL-15-0043-HL-S	VIOLATION OF STANDARDS OF ETHICAL CONDUCT "OUTSIDE ACTIVITIES"		2/24/2015	Other Non-Criminal; Violation of NASA Regulations
	Admin Actions:			
	Action Taken 2/12/2015 GRC Office of the Chief Counsel			
C-ST-15-0048-P	Possible Admissions of the Download of Child Pornography		1/14/2015	Computer Crime - Child Pornography; Other States or Local Crimes

<u>O-LB-15-0049-S</u>	ALLEGATIONS OF INADEQUATE RESPONSE FROM NASA	6/12/2015	Other Non-Criminal
<u>O-AR-15-0051-P</u>	COST MISCHARGING- AEROJET ROCKETDYNE	8/5/2015	Cost Mischarging/Defective Pricing/False Claims
<u>O-KE-15-0052-O</u>	NORTH, Wayne L.	6/12/2015	False Statements
	Admin Actions:		
	Action Taken 1/21/2015 North, Wayne L		
	Criminal Results:		
	Criminal Indictment 12/11/2014 North, Wayne L		
	Criminal Indictment 1/7/2015 North, Wayne L		
	Criminal Sentencing 6/8/2015 North, Wayne L		
	Criminal Conviction 3/24/2015 North, Wayne L		
<u>C-JP-15-0053-HL-S</u>	ILLEGAL FOOTBALL POOL	1/27/2015	Violation of NASA Regulations
<u>O-WA-15-0056-S</u>	VT GROUP	8/21/2015	Cost Mischarging/Defective Pricing/False Claims
	ANTARES ROCKET LAUNCH - SUSPECTED LATE NOTIFICATION OF DANGER ZONE		
<u>O-WA-15-0057-S</u>		1/5/2015	Non-Criminal Cases
<u>O-IP-15-0059-P</u>	SUSPECTED TRAVEL FRAUD	6/23/2015	Travel Fraud
<u>O-JS-15-0060-P</u>	SUSPECTED COUNTERFEIT BEARINGS	7/24/2015	Product Substitution
<u>O-WA-15-0061-S</u>	(b)(6), (b)(7)(C)	4/17/2015	Non-Criminal Cases
<u>C-MA-15-0063-S</u>	Unauthorized Access of NASA IT Resources	8/25/2015	Computer Crime; Computer Fraud
<u>O-LB-15-0065-HL-S</u>	IMPROPER RETRIEVAL OF JPL BADGES	1/9/2015	Safety Issues; Violation of NASA Regulations
<u>O-LB-15-0069-HL-S</u>	FRATERNIZATION/UNPROFESSIONAL RELATIONSHIPS IN WORKPLACE	1/16/2015	Employee Misconduct; Standards of Conduct Violations; Violation of NASA Regulations
<u>O-LB-15-0074-S</u>	PROACTIVE PROJECT: SPACEX	8/28/2015	Proactive/Project Cases
<u>C-AR-15-0075-P</u>	International Transportation of IT Equipment	6/16/2015	Export Control Violations; Non-Criminal Cases; Other Non-Criminal
<u>C-AR-15-0076-O</u>	HERNAEZ, Arthemuel	9/2/2015	Computer Crime - Child Pornography
	Criminal Results:		
	Criminal Indictment 1/19/2015 Hernaez, Arthemuel Panes		
	Criminal Sentencing 6/5/2015 Hernaez, Arthemuel Panes		

Criminal Conviction 5/12/2015 Hernaez, Arthemuel Panes				
<u>O-MA-15-0082-P</u>	Alleged Assault of NASA Contract Employee	1/23/2015	Other Federal Crimes on NASA Facilities	
<u>O-GL-15-0086-HL-P</u>	PROCUREMENT INTEGRITY VIOLATION - TFOME Contract	7/14/2015	Procurement Irregularities; Violation of NASA Regulations	
<u>O-GL-15-0087-HL-P</u>	ARTIUM INC.	4/21/2015	Employee Misconduct; SBIR/STTR Fraud	
<u>C-AR-15-0097-P</u>	Ames Research Center Safety Concerns	1/28/2015	Employee Misconduct; Non-Criminal Cases; Other Federal Crimes; Other Federal Crimes on NASA Facilities; Other Non-Criminal; Safety Issues; Standards of Conduct Violations	
<u>C-GO-15-0100-HL-P</u>	FRAUD, WASTE AND ABUSE	2/24/2015	Computer Crime	
<u>O-GO-15-0102-HL-S</u>	RESEARCH MISCONDUCT - SUSPECTED PLAGIARISM	2/6/2015	Employee Misconduct	
<u>O-LA-15-0105-P</u>	UNAUTHORIZED ACCESS BY FOREIGN NATIONAL	1/23/2015	Violation of NASA Regulations	
<u>O-GO-15-0106-HL-P</u>	CONCEPTUAL ANALYTICS	7/29/2015	Procurement Irregularities	
<u>O-AR-15-0107-HL-P</u>	DIVERTING FUNDS	6/30/2015	Employee Misconduct; Other Federal Crimes; Violation of NASA Regulations	
<u>O-LA-15-0108-S</u>	(b)(6), (b)(7)(C)	7/9/2015	Standards of Conduct Violations	
Admin Actions:				
Action Taken 7/9/2015 (b)(6), (b)(7)(C)				
<u>O-JS-15-0109-P</u>	Suspicious Financial Activity	5/8/2015	Proactive/Project Cases	
<u>C-MA-15-0111-P</u>	Alleged Downloading of Inappropriate Material	9/1/2015	Computer Crime - Child Pornography; Violation of NASA Regulations	
<u>O-KE-15-0113-S</u>	GSA FLEET VEHICLE FUEL THEFT KSC	9/15/2015	Theft/Conversion of Govn't Property or Funds	
<u>O-MA-15-0114-O</u>	(b)(6), (b)(7)(C)	12/31/2015	Other Federal Crimes	
<u>O-JS-15-0115-HL-S</u>	MISUSE OF FUNDS/RESOURCES/ETHICS VIOLATION	2/25/2015	Employee Misconduct; False Claim; Standards of Conduct Violations	

<u>C-MA-15-0117-HL-S</u>	(b)(6), (b)(7)(C)		10/14/2015	Computer Crime; Standards of Conduct Violations; Violation of NASA Regulations
<u>C-GO-15-0118-HL-P</u>	HARASSMENT/INAPPROPRIATE USE OF GOVERNMENT RESOURCES		4/28/2015	Standards of Conduct Violations; Violation of NASA Regulations
<u>O-LA-15-0119-P</u>	(b)(6), (b)(7)(C)		2/6/2015	Employee Misconduct
<u>O-KE-15-0122-O</u>	(b)(6), (b)(7)(C)		11/30/2015	Computer Crime - Child Pornography
<u>O-AR-15-0127-P</u>	POSSIBLE MISUSE OF NASA FUNDS		8/5/2015	Employee Misconduct; Misuse of NASA Seal/Insignia/Emblem/Name/Acronym; Procurement Irregularities
<u>O-MA-15-0128-O</u>	FREE, Roy Douglas		8/4/2015	Other Federal Crimes on NASA Facilities
	Criminal Results:			
	Criminal Indictment 2/19/2015 Free, Roy Douglas			
	Criminal Indictment 2/19/2015 Free, Roy Douglas			
	Criminal Sentencing 5/12/2015 Free, Roy Douglas			
	Criminal Conviction 5/12/2015 Free, Roy Douglas			
<u>C-JP-15-0131-P</u>	Nigerian Fraud Ring		9/2/2015	Computer Crime
<u>O-GO-15-0133-HL-S</u>	RAYTHEON COMPANY - FAR DISCLOSURE		4/14/2015	Other Non-Criminal
<u>O-WA-15-0134-HL-S</u>	TIMECARD FRAUD INVOLVING UNION ACTIVITIES		7/1/2015	Timecard Fraud
	Admin Actions:			
	Action Taken 6/30/2015 Wallops Flight Facility			
<u>O-MA-15-0141-HL-P</u>	FAR DISCLOSURE - BOEING - LABOR MISCHARGING		8/5/2015	Cost Mischarging/Defective Pricing/False Claims; Timecard Fraud
<u>O-WA-15-0142-S</u>	CONTRACT EMPLOYEE MISTREATMENT		2/24/2015	Non-Criminal Cases
<u>O-LA-15-0145-S</u>	PROACTIVE PROJECT: NATIONAL INSTITUTE OF AEROSPACE "ANALYSIS OF COST ACTIVITIES"		10/22/2015	Cost Mischarging/Defective Pricing/False Claims
<u>O-ST-15-0148-P</u>	ALLEGATION OF ILLEGAL GRATUITY		3/23/2015	Bribery/Graft
<u>O-ST-15-0149-S</u>	REVIEW OF SBIRS RELATED TO THE UNIVERSITY OF ARKANSAS		11/16/2015	SBIR/STTR Fraud; Violation of NASA Regulations

O-HS-15-0150-S	INTERNAL INVESTIGATION 2015-1	7/23/2015	Employee Misconduct
O-GO-15-0151-HL-S	LOCKHEED MARTIN æ“ LABOR MISCHARGING (FAR DISCLOSURE)	5/8/2015	Cost Mischarging/Defective Pricing/False Claims
C-AR-15-0152-P	(b)(6), (b)(7)(C)	10/6/2015	Computer Crime
O-JP-15-0155-P	Allegation of Missing Property	6/22/2015	Computer Crime - Data Theft; Theft/Conversion of Govn't Property or Funds
O-LA-15-0156-HL-P	FBI LEAD REQUEST - POSSIBLE INVESTMENT FRAUD	4/1/2015	Other Federal Crimes
O-ST-15-0159-HL-P	CONFLICT OF INTEREST æ“ HEWLETT PACKARD ENTERPRISE SERVICES	4/15/2015	Conflict of Interest
C-GO-15-0160-P	INAPPROPRIATE USE OF GOVERNMENT RESOURCES	5/19/2015	Computer Crime
O-GO-15-0164-P	(b)(6), (b)(7)(C)	3/13/2015	Other Non-Criminal
O-JP-15-0171-P	THEFT OF GOVERNMENT PROPERTY	9/11/2015	Bribery/Graft; Employee Misconduct; Public Corruption; Theft/Conversion of Govn't Property or Funds
C-MA-15-0172-P	Suspected Compromise of NASA Account at Google	9/2/2015	Computer Crime; Computer Crime - Data Theft; Computer Crime - Intrusion
C-JS-15-0173-P	Potential Data Breach Regarding Astronaut Medical Information	9/17/2015	Other Non-Criminal
C-JS-15-0174-P	False Identity Used to Request ITAR Controlled Software	11/9/2015	Computer Crime
O-LB-15-0179-P	SUBJECT UNKNOWN: SUSPECTED EXPORT CONTROL VIOLATIONS	6/12/2015	Export Control Violations
O-LA-15-0181-HL-S	RESEARCH MISCONDUCT - PLAGIARISM (OPTICAL IMAGING)	5/6/2015	Other Federal Crimes
	Admin Actions:		
	Action Taken 4/27/2015 (b)(6), (b)(7)(C)		
C-JP-15-0183-P	(b)(6), (b)(7)(C)	9/30/2015	Theft/Conversion of Govn't Property or Funds
C-JP-15-0191-P	UNKNOWN SUBJECT-JBOSS SERVER DENIAL OF SERVICE (DoS) ATTACK	9/30/2015	Computer Crime - Intrusion

O-GO-15-0199-P	(b)(6), (b)(7)(C)			11/18/2015	Export Control Violations; False Claim; False Statements; SBIR/STTR Fraud
O-LA-15-0201-P	NISTLab SAI, et al.			10/7/2015	False Claim; False Statements
Admin Actions:					
Action Taken 9/25/2015 Mission Services Contract Branch					
O-GO-15-0203-S	SOLAR SYSTEM WORKINGS PROGRAM			7/9/2015	Other Non-Criminal
O-LB-15-0206-P	SUBJECT UNKNOWN: SUSPECTED ITAR VIOLATION			7/24/2015	Export Control Violations
O-LB-15-0207-P	FALSE REPORTING OF SCIENTIFIC DATA			8/7/2015	Violation of NASA Regulations
C-WH-15-0216-P	Potential Illegal Activity and Use of BitTorrent			7/9/2015	Other Federal Crimes on NASA Facilities
O-MA-15-0229-P	Alleged Misconduct by SAIC on the NICS contract			7/21/2015	Employee Misconduct
C-AR-15-0230-P	(b)(6), (b)(7)(C)			6/16/2015	Computer Crime - Child Pornography
O-MA-15-0231-O	(b)(6), (b)(7)(C)			11/2/2015	Theft/Conversion of Govn't Property or Funds
O-GO-15-0235-S	ALLEGED NASA TECHNOLOGY MISUSE			5/22/2015	Non-Criminal Cases; Other Federal Crimes
O-KE-15-0236-O	ALLEGED FIRE SUPPRESSION SYSTEM INSTALLATION NEGLIGENCE			11/16/2015	False Claim; Product Substitution; Safety Issues
C-MA-15-0238-P	Multiple Offenses and Disregard for NASA Policies by a MAF Tenant			11/17/2015	Non-Criminal Cases; Violation of NASA Regulations
C-MA-15-0239-P	Browsing NSFWeb Websites at MSFC			11/25/2015	Non-Criminal Cases; Violation of NASA Regulations
O-KE-15-0247-HL-S	WHISTLEBLOWER RETALIATION - KENNEDY SPACE CENTER			12/7/2015	Whistleblower Retaliation
O-GO-15-0248-S	PROACTIVE PROJECT - REVIEW OF GODDARD'S PURCHASE CARD PROGRAM			10/27/2015	Proactive/Project Cases
O-JS-15-0249-HL-S	TIMECARD FRAUD BY NASA EMPLOYEES			9/17/2015	Employee Misconduct; Timecard Fraud; Violation of NASA Regulations
O-AR-15-0256-S	POSSIBLE FRAUD INVOLVING SPACE ACT AGREEMENTS AND MISUSE OF NASA INSIGNIA			10/1/2015	Misuse of NASA Seal/Insignia/Emblem/Name/Acronym; Procurement Irregularities

O-AR-15-0257-HL-P	TIMECARD FRAUD/CONFLICT OF INTEREST	8/28/2015	Conflict of Interest; Timecard Fraud
O-GO-15-0260-S	PROACTIVE PROJECT - GODDARD FUEL DIVERSION/DELIVERY FRAUD	9/9/2015	Theft/Conversion of Govn't Property or Funds
O-LB-15-0265-P	SUBJECT UNKNOWN: SUSPECTED MISAPPROPRIATION OF GOVERNMENT PROPERTY	9/18/2015	Theft/Conversion of Govn't Property or Funds
O-GO-15-0266-HL-S	POTENTIAL CONFLICT OF INTEREST	8/21/2015	Conflict of Interest; Violation of NASA Regulations
O-KE-15-0272-O	(b)(6), (b)(7)(C)	12/3/2015	State or Local Crimes on NASA Facilities
Admin Actions:			
O-MA-15-0274-S	Action Taken 7/15/2015 (b)(6), (b)(7)(C)		
O-MA-15-0276-HL-P	(b)(6), (b)(7)(C)	8/11/2015	Employee Misconduct
O-GL-15-0281-HL-P	THEFT OF NASA PROPERTY	7/13/2015	Theft/Conversion of Govn't Property or Funds
O-GO-15-0283-HL-S	UNAUTHORIZED EXPORT CONTROL CONCERNS	12/31/2015	Export Control Violations; Violation of NASA Regulations
	RESEARCH MISCONDUCT/PLAGIARISM	8/21/2015	Non-Criminal Cases
O-GO-15-0284-P			Misuse of NASA Seal/Insignia/Emblem/Name/Acronym; Other Federal Crimes
C-JP-15-0291-P	PRECIOUS BULLIONS	8/14/2015	Theft/Conversion of Govn't Property or Funds
O-LA-15-0294-S	CONVERSION OF GOVERNMENT PROPERTY	9/1/2015	
O-LA-15-0296-P	LEAD REQUEST: ALLEGED HARASSMENT/STALKING	7/14/2015	Other States or Local Crimes
	WISE COUNTY CLERK OF COURTS	7/14/2015	False Claim
O-ST-15-0299-HL-P	PROCUREMENT INTEGRITY CONCERNS		Procurement Irregularities; Source Evaluation Board (SEB) Fraud; Violation of NASA Regulations
O-KE-15-0306-P	ALLEGED FALSE CLAIMS ON 8A SET ASIDE CONTRACT	10/14/2015	
	THREATS AND INTIMIDATION - WHITE SANDS TEST FACILITY	11/24/2015	False Claim; False Statements
O-JS-15-0308-HL-P		11/2/2015	Employee Misconduct; Standards of Conduct Violations

O-JS-15-0315-P	Stolen Laptop	12/17/2015	Possession of Access Devices (Credit Card Numbers/Computer Passwords); State or Local Crimes on NASA Facilities
O-WA-15-0319-S	ALLEGED UNSAFE NASA C-130 AIRCRAFT à€“ WALLOPS	7/31/2015	Safety Issues
O-GO-15-0321-S	PRESIDIO NETWORKED SOLUTIONS	8/5/2015	Cost Mischarging/Defective Pricing/False Claims
C-MA-15-0323-HL-P	POSSIBLE VIEWING OF CHILD PORN SITES	11/30/2015	Computer Crime - Child Pornography
O-AR-15-0329-P	Teledyne (Qui Tam)	12/7/2015	False Claim
O-ST-15-0335-P	ALLEGED ESPIONAGE RELATED TO A NASA GRANT	8/31/2015	Export Control Violations
C-GO-15-0339-S	Internal Admin Investigation -NASA OIG	12/14/2015	Employee Misconduct
O-GO-15-0343-S	ALLEGED COST MISMANAGEMENT - SGSS CONTRACT	8/21/2015	Non-Criminal Cases
C-AR-15-0344-P	(b)(6), (b)(7)(C)	9/9/2015	Computer Fraud
O-GO-15-0349-P	ALLEGED COST MISCHARGING	9/2/2015	Cost Mischarging/Defective Pricing/False Claims; False Claim; Other Federal Crimes
O-GO-15-0351-HL-S	ALLEGED DRUG USE AND TIME CARD FRAUD	9/14/2015	Employee Misconduct
O-LA-15-0355-S	HEALTH CARE BENEFITS IRREGULARITIES	9/14/2015	Non-Criminal Cases
O-MA-15-0359-HL-S	RESEARCH MISCONDUCT - EXPLORATION TECHNOLOGY DEVELOPMENT PROGRAM	12/15/2015	Other Non-Criminal
O-HS-15-0364-S	INTERNAL INVESTIGATION à€“ 2015-2	11/4/2015	Employee Misconduct; Timecard Fraud
O-LA-15-0373-S	PROCUREMENT IRREGULARITIES - LAMPS CONTRACT	9/25/2015	Procurement Irregularities
O-GO-15-0385-P	FOREIGN VISTOR ACCESS CONCERNS	9/30/2015	Export Control Violations; Violation of NASA Regulations

O-AR-16-0001-HL-P	TRAVEL IMPROPRIETY	10/26/2015	Non-Criminal Cases; Travel Fraud
O-IS-16-0009-HL-P	POST EMPLOYMENT CONFLICT OF INTEREST	10/30/2015	Conflict of Interest
O-GO-16-0010-HL-P	COMMONWEALTH APPLIED SILICA TECHNOLOGIES	10/14/2015	Other Non-Criminal; Procurement Irregularities
O-AR-16-0015-S	POSSIBLE MISUSE OF NASA'S NAME AND AFFILIATION	11/13/2015	Misuse of NASA Seal/Insignia/Emblem/Name/Acronym
O-GL-16-0017-P	RECOVERED GRC EQUIPMENT " LAPTOP COMPUTER	10/27/2015	Non-Criminal Cases
O-GO-16-0027-HL-S	NATIONAL CENTER FOR DEFENSE MANUFACTURING AND MACHINING	11/10/2015	Procurement Irregularities
O-GO-16-0032-HL-S	EMPLOYEE MISCONDUCT - SBIR/STTR PROGRAM OFFICE	12/7/2015	Employee Misconduct; Non-Criminal Cases; Procurement Irregularities; Violation of NASA Regulations
O-GO-16-0036-HL-S	DISCLOSURE OF PROCUREMENT INFORMATION	12/22/2015	Conflict of Interest; Other Non-Criminal
O-GO-16-0059-P	POSSIBLE ITAR VIOLATION	12/3/2015	Export Control Violations

Total Records: 232